

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : <b>A61K 9/00</b>	<b>A2</b>	(11) International Publication Number: <b>WO 00/09084</b> (43) International Publication Date: 24 February 2000 (24.02.00)
<p>(21) International Application Number: PCT/GB99/02527</p> <p>(22) International Filing Date: 2 August 1999 (02.08.99)</p> <p>(30) Priority Data: 9817470.9 11 August 1998 (11.08.98) GB</p> <p>(71) Applicant (for all designated States except US): QUADRANT HEALTHCARE (UK) LIMITED [GB/GB]; 1 Mere Way, Ruddington, Nottingham NG11 6JS (GB).</p> <p>(72) Inventor; and (75) Inventor/Applicant (for US only): RYLANCE, Nicola, Kim [GB/GB]; Quadrant Healthcare (UK) Limited, 1 Mere Way, Ruddington, Nottingham NG11 7JS (GB).</p> <p>(74) Agent: GILL JENNINGS &amp; EVERY; Broadgate House, 7 Eldon Street, London EC2M 7LH (GB).</p>		<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i></p>
(54) Title: PHARMACEUTICAL FORMULATION		
<p>(57) Abstract</p> <p>A gel comprises biodegradable microparticles including a wall-forming material that is relatively insoluble at physiological pH, wherein the liquid phase of the gel is aqueous, buffered to physiological pH.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LJ	Liechtenstein	SD	Sudan		
DK	Denmark	LI	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## PHARMACEUTICAL FORMULATION

### Field of the Invention

This invention relates to a pharmaceutical formulation. More particularly, it relates to a gel formed from microparticles.

### Background of the Invention

The delivery of therapeutic agents to the site of action, in an appropriate formulation, is not easily solved for all drugs. One means of drug delivery comprises formulating the therapeutic agent and, if necessary, a wall-forming material as microparticles, and preferably hollow microcapsules, of defined size, preferably by spray-drying. Suitable procedures are described in, for example, WO-A-9218164, WO-A-9408627 and WO-A-9615814.

Microparticles produced by spray-drying may be soluble, in which case they are particularly adapted for pulmonary administration. They may also be rendered insoluble, by chemical cross-linking or heating, in which case they are particularly adapted for intravascular administration, so that they reach the liver, a tumour site or other desired loci.

Solutions or suspensions of therapeutic agent are not necessarily appropriate for a formulation intended for subcutaneous injection, in order to provide sustained release of the drug. A semi-solid consistency would be desirable.

### Summary of the Invention

Surprisingly, it has been discovered that certain materials that are relatively insoluble in water at physiological pH can be formulated into microparticles and provided as a gel. This can be achieved without any chemical modification of the wall-forming material. More particularly, a gel according to the present invention comprises biodegradable microparticles including a wall-forming material that is relatively insoluble at physiological pH, and the liquid phase of the gel is aqueous, buffered to physiological pH.

Without wishing to be bound by theory, it appears that certain materials, of which casein is one, which can be

formulated as a solution, albeit not at pH 7, can be spray-dried to give microparticles or microcapsules that, upon resuspension in a suitable buffer, provide a gel. This gel is suitable for subcutaneous injection, and can provide a therapeutic agent in a sustained release form.

#### Description of the Invention

Typically, the wall-forming material forms an aqueous solution at a pH below 4 or above 10, i.e. at relatively acid or alkaline pH. The pH of the solution for spray-drying will typically be at least 1 or 2, or no more than 13 or 14.

The wall-forming material is relatively insoluble in water at pH 7. Typically, it will be insoluble at this pH, to the extent that no sufficiently concentrated solution could be made of it, that would be worth using, for spray-drying on a commercial scale. Such materials include biodegradable natural or synthetic polymers, and other stabilising and suspending agents, including those with haemostatic properties. For example, alginates and oxidised celluloses, pectins and xanthan gums, are soluble at a pH other than physiological pH, and are known for their haemostatic properties, upon contact with water. More particularly, the material may be a protein such as casein. Casein is a protein derived from milk, having a molecular weight in the region of 23,000; it is sparingly soluble in water but is soluble in aqueous alkali.

The wall-forming material may itself be a therapeutic agent. Alternatively, a therapeutic agent is added, e.g. in the formulation from which the microparticles are formed. Suitable agents include insulin, hormones, cytotoxic agents, antibiotics, antivirals, analgesics and anti-inflammatory agents; it will be readily apparent to the skilled person that any suitable agent can be used.

Spray-drying may be conducted by procedures that are generally known, and are described in more detail in the Andaris publications given above (the contents of which are incorporated herein by reference). The hollow or other microcapsules that can be produced by this technology can have any desired characteristics, according to the

conditions that are chosen. The size and size distribution of the microparticles are not especially critical, for the purposes of this invention.

5 In order to prepare the gel, the microparticles are resuspended in an appropriate buffer, to physiological pH. Any suitable buffer may be chosen, provided that it is physiologically-acceptable. For example, if the therapeutic agent is alkaline, a phosphate-citrate buffer may be chosen.

10 The materials etc. that are used in this invention may have some effect on the ability of the microparticles to form a gel, upon resuspension in buffer. Based on the information provided in this specification, one of ordinary skill in the art can readily determine whether or not a  
15 suitable gel can be formed.

The release characteristics of products of this invention may be manipulated by controlling the feedstock formulation prior to spray-drying. Alternatively, or in addition, the microparticles and/or the gel may be further  
20 stabilised, e.g. by the use of chemical cross-linking agents or the addition of viscosity enhancers.

The following Example illustrates the invention.

Example

50 g casein (Sigma, Technical grade) was dissolved in  
25 250 ml 0.5 M NaOH; the pH was determined to be 13.4. Myoglobin (Sigma, Horse heart) was selected for use as a marker, and as representative of a therapeutic agent to be released: 1 g was dissolved in 20 ml purified water, and a 1 ml aliquot was removed for the preparation of standards.  
30 The remaining 19 ml was added to the casein solution prior to spray-drying. The feed solution was continually stirred during spray-drying, which was conducted under the following conditions:

35	Inlet temperature	220°C
	Outlet temperature	83°C
	Atomisation pressure	5.0 bar
	Feed rate	12.5 g/min
	Product recovery	51.3%

The resultant microcapsules were deep red in colour and appeared to be fairly cohesive.

Resuspension of the microcapsules in low concentration phosphate buffer (pH 7) proved unsuccessful as the  
5 microcapsules were found to dissolve; the reason is that the buffer was insufficiently concentrated to overcome the high pH of the microcapsules. Upon resuspension of 1 g spray-dried material in 6 ml of phosphate-citrate buffer (0.15 M, pH 5.0), a gel was formed. The gel was found to  
10 increase in strength over a period of 30 minutes as determined visually.

Three 1 g aliquots of the spray-dried microcapsules were placed into universal tubes. 10 ml phosphate-citrate buffer was added to each tube and the samples vortexed. A  
15 gel was formed immediately in each of the tubes. The tubes were then centrifuged (3 min @ 3000 rpm) and the whole supernatants removed. The supernatants were diluted 1:1 before scanning between 500 and 700 nm. A 0.5 mg/ml standard of the myoglobin was scanned at the same  
20 wavelengths and used to determine the levels of myoglobin released initially on contact of the microcapsules with water.

Fresh 5 ml aliquots of the phosphate-citrate buffer were added to each of the gels and the samples were placed  
25 in a water bath at 37°C. At various timepoints, samples were removed from the water bath and centrifuged. The supernatants were diluted 1:1 and scanned as before. 5 ml aliquots of phosphate-citrate buffer were added to the centrifuged gels at each timepoint before placement back in  
30 the water bath. The results showed that myoglobin was retained to some extent.

By way of example, increasing the concentration of casein in the feedstock, e.g. to 30% w/v, may increase the strength of the gel. The same effect may be achieved by  
35 dissolution of casein at different values of pH.

CLAIMS

1. A gel comprising biodegradable microparticles including a wall-forming material that is relatively insoluble at physiological pH, wherein the liquid phase of the gel is aqueous, buffered to physiological pH.
2. A gel according to claim 1, wherein the microparticles consist essentially only of a therapeutic agent and the wall-forming material.
3. A gel according to claim 1 or claim 2, wherein the microparticles include is a biodegradable natural or synthetic polymer.
4. A gel according to any preceding claim, wherein the microparticles include a stabilising or suspending agent having haemostatic properties.
5. A gel according to any preceding claim, wherein the microparticles include a protein.
6. A gel according to any preceding claim, wherein the microparticles include casein.
7. A gel according to any preceding claim, wherein the microparticles are obtainable by spray-drying from an aqueous solution having a pH below 4 or above 10.
8. A method for the preparation of a gel according to any preceding claim, which comprises spray-drying an aqueous solution as defined in claim 7, and reconstituting the resultant microparticles in said buffer.

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>A61K 9/06, 9/16</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 00/09084</b> <b>(43) International Publication Date:</b> 24 February 2000 (24.02.00)
<b>(21) International Application Number:</b> PCT/GB99/02527 <b>(22) International Filing Date:</b> 2 August 1999 (02.08.99)  <b>(30) Priority Data:</b> 9817470.9 11 August 1998 (11.08.98) GB  <b>(71) Applicant (for all designated States except US):</b> QUADRANT HEALTHCARE (UK) LIMITED [GB/GB]; 1 Mere Way, Ruddington, Nottingham NG11 6JS (GB).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> RYLANCE, Nicola, Kim [GB/GB]; Quadrant Healthcare (UK) Limited, 1 Mere Way, Ruddington, Nottingham NG11 7JS (GB).  <b>(74) Agent:</b> GILL JENNINGS & EVERY; Broadgate House, 7 Eldon Street, London EC2M 7LH (GB).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>  <b>(88) Date of publication of the international search report:</b> 2 June 2000 (02.06.00)
<b>(54) Title:</b> BIODEGRADABLE MICROPARTICLES GEL COMPOSITION		
<b>(57) Abstract</b>		
A gel comprises biodegradable microparticles including a wall-forming material that is relatively insoluble at physiological pH, wherein the liquid phase of the gel is aqueous, buffered to physiological pH.		



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 99/02527

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 A61K9/06 A61K9/16		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 92 18164 A (DELTA BIOTECHNOLOGY LTD) 29 October 1992 (1992-10-29) cited in the application page 3, line 14 - line 17 page 4, line 10 - line 16 page 6, line 9 - line 16; claims 1-4; example 1	1-8
A	WO 93 25221 A (ALKERMES INC) 23 December 1993 (1993-12-23) page 3, line 13 - line 25 page 16, line 20 - line 33 page 23, line 35 - page 24, line 18; claims 1-4; example 1 claims 7-13	1-6
--- -/--		
<div style="display: flex; justify-content: space-between;"> <span><input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.</span> <span><input checked="" type="checkbox"/> Patent family members are listed in annex.</span> </div>		
<div style="display: flex;"> <div style="flex: 1;"> <p>* Special categories of cited documents :</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="flex: 1;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center; font-weight: bold;">16 March 2000</div>		Date of mailing of the international search report  <div style="text-align: center; font-weight: bold;">22/03/2000</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center; font-weight: bold;">Marttin, E</div>

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/02527

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP 0 563 876 A (INVERNI DELLA BEFFA SPA)          6 October 1993 (1993-10-06)          page 1, line 1 - line 10          page 8, line 6 - line 13          -----</p>	1-6

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 99/02527

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9218164 A	29-10-1992	AU 655016 B	01-12-1994
		AU 1589192 A	17-11-1992
		AU 691196 B	14-05-1998
		AU 7448394 A	22-12-1994
		CA 2083260 A	11-10-1992
		CN 1066977 A	16-12-1992
		EP 0512693 A	11-11-1992
		EP 0533886 A	31-03-1993
		EP 0681843 A	15-11-1995
		EP 0972526 A	19-01-2000
		FI 925600 A	09-12-1992
		GB 2260745 A, B	28-04-1993
		HK 1006538 A	05-03-1999
		HU 62805 A	28-06-1993
		IL 101564 A	20-06-1999
		JP 11128232 A	18-05-1999
		JP 2865866 B	08-03-1999
		KR 129861 B	09-04-1998
		MX 9201694 A	01-02-1993
		NZ 242328 A	22-12-1994
		US 5993805 A	30-11-1999
		US 6022525 A	08-02-2000
		US 5518709 A	21-05-1996
		ZA 9202636 A	30-12-1992
WO 9325221 A	23-12-1993	AU 698016 B	22-10-1998
		AU 4275597 A	15-01-1998
		AU 680422 B	31-07-1997
		AU 4630893 A	04-01-1994
		CA 2136434 A	23-12-1993
		EP 0644771 A	29-03-1995
		JP 7507806 T	31-08-1995
		US 5716644 A	10-02-1998
EP 0563876 A	06-10-1993	US 5674534 A	07-10-1997
		IT 1255076 B	18-10-1995